

# **NORTH AMERICA INTERNATIONAL PATENT OFFICE**

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**FROM : Winston Hsu, PATENT AGENT, REG. NO. : 41,526**

**SUBJECT: SERIAL NO. : 10/065,663**

**RESPONSE TO OFFICE ACTION MAILED ON 08/13/2003**

**TOTAL PAGES : 11 PAGES (INCLUDING COVER PAGE)**

**The hardcopy of this response will be sent to USPTO by Federal Express  
right away.**

**Winston Hsu 2003/10/30**

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PTO/SB/17 (03-00)  
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APPLICATION NUMBER: 10/065,663

PAPERS INCLUDED:

(1) Transmittal Form	1 PAGE
(2) Fee Transmittal	1 PAGE
(3) Response to the Office Action	7 PAGES

Burden Hour Statement: This form is estimated to take 0.03 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/55/21 (08-00)

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	10/065,863	
	Filing Date	11/07/2002	
	First Named Inventor	Man-Chun Hu	
	Group Art Unit	2823	
	Examiner Name	Toledo, Fernando L	
Total Number of Pages in This Submission	9	Attorney Docket Number	ALIP0010USA

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks Response to the office action has been sent to the examiner by fax on 10/30/2003		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Winston Hsu, Reg. No.: 41,526
Signature	<i>Winston Hsu</i>
Date	10/29/2003

CERTIFICATE OF MAILING		
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: _____		
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Signature	_____	Date _____

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PTO/SB/17 (01-03)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL  
for FY 2003**

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 0.00

**Complete if Known**

Application Number	10/065,663
Filing Date	11/07/2002
First Named Inventor	Man-Chun Hu
Examiner Name	Toledo, Fernando L
Art Unit	2823
Attorney Docket No.	ALIP0010USA

**METHOD OF PAYMENT (check all that apply)**

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number: 50-0801

Deposit Account Name: North America International Patent Office

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)		
1001 780	2001 375			Utility filing fee	
1002 330	2002 165			Design filing fee	
1003 620	2003 280			Plant filing fee	
1004 780	2004 375			Reissue filing fee	
1005 180	2006 80			Provisional filing fee	
<b>SUBTOTAL (1)</b>				<b>(\$ ) 0.00</b>	

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)		
1202 18	2202 9			Claims in excess of 20	
1201 64	2201 42			Independent claims in excess of 3	
1203 280	2203 140			Multiple dependent claim, if not paid	
1204 64	2204 42			** Reissue independent claims over original patent	
1205 18	2205 9			** Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2)</b>				<b>(\$ ) 0.00</b>	

\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)		
1061 130	2061 65			Surcharge - late filing fee or oath	
1062 50	2062 25			Surcharge - late provisional filing fee or cover sheet	
1063 130	2063 65			Non-English specification	
1812 2,520	2812 1,260			For filing a request for ex parte reexamination	
1804 820*	2804 410*			Requesting publication of SR prior to Examiner action	
1805 1,840*	2805 920*			Requesting publication of SR after Examiner action	
1251 110	2251 55			Extension for reply within first month	
1252 410	2252 205			Extension for reply within second month	
1253 930	2253 465			Extension for reply within third month	
1254 1,460	2254 730			Extension for reply within fourth month	
1255 1,970	2255 985			Extension for reply within fifth month	
1401 320	2401 160			Notice of Appeal	
1402 320	2402 160			Filing a brief in support of an appeal	
1403 280	2403 140			Request for oral hearing	
1451 1,510	2451 755			Petition to institute a public use proceeding	
1452 110	2452 55			Petition to revive - unavoidable	
1453 1,300	2453 650			Petition to revive - unintentional	
1501 1,300	2501 650			Utility issue fee (or reissue)	
1502 470	2502 235			Design issue fee	
1503 630	2503 315			Plant issue fee	
1460 130	2460 65			Petitions to the Commissioner	
1807 50	2807 25			Processing fee under 37 CFR 1.17(e)	
1808 180	2808 90			Submission of Information Disclosure Sheet	
8021 40	28021 20			Recording each patent assignment per property (times number of properties)	
1809 750	2809 375			Filing a submission after final rejection (37 CFR 1.126(a))	
1810 760	2810 380			For each additional invention to be examined (37 CFR 1.129(b))	
1801 760	2801 380			Request for Continued Examination (RCE)	
1802 900	2802 450			Request for expedited examination of a design application	

Other fee (specify):

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$ ) 0.00**SUBMITTED BY**

Name (Print/Type)	Winston Hsu	Registration No. (Attorney/Agent)	41,526	Telephone	886289237350
Signature	<i>Winston Hsu</i>	Date	10/29/2003		

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

5    Applicant:        Man-Chun Hu        Examiner:        Toledo, Fernando L  
                         Wen-Chung Lin  
         Filing Date:    11/07/2002        Art Unit:        2823  
         App. No.:        10/065,663        Docket No.:     ALIP0010USA

10   Title:            INTEGRATED CAPACITOR AND METHOD OF MAKING SAME

         To:            Commissioners for Patents  
                         P.O. Box 1450  
                         Alexandria, VA 22313-1450

15   Subject:           Response to the Office action dated 08/13/2003

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Dear Sir or Madame:

**INTRODUCTORY COMMENTS**

25

In response to the Office action identified above, the above-identified application is to be amended as indicated in the following sections. Consideration of all amendments is politely requested.

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